

SAQ 5.0 Rating Methodology

When the latest edition of the common Sustainability Assessment Questionnaire, SAQ 5.0, was launched in 2022, it incorporated an updated scoring methodology.

A full breakdown of the SAQ 5.0 Rating methodology is set out overleaf.

Please note: The SAQ 5.0 Rating is independent of your buyer evaluation or rating. Buyers may apply their own score or rating to your SAQ response.

SAQ 5.0 Results

Your SAQ 5.0 results include a comparison of your Minimum Scope Rating and Sustainability Score against country and industry average.

The Minimum Scope Rating is a letter grade that indicates how well you performed against the Minimum Scope questions only. The boundaries for each letter grade are:

Grade	Boundary	Colour
A	100%	Green
B	80-99%	Green
C	60-79%	Amber
D	40-59%	Amber
E	20-39%	Red
F	0-19%	Red

The Sustainability Score describes your performance across the full questionnaire, including both Minimum Scope and optional questions.

By default, only the scores for the latest revision of your SAQ will show on the SUPPLIERASSURANCE platform. If your SAQ has been updated at least once, and therefore a previous revision is available, then you can use the advanced view to see how your current Minimum Scope Rating and Sustainability Score compares to your most recent previous revision.

On the advanced view, an increase in performance is highlighted in green and a decrease in performance is highlighted in red. This green or red status does not reflect your current or previous score. It is solely an indication of whether performance has improved or otherwise.

SAQ 5.0 Rating

Question	Answers	Sustainability Score	Minimum Scope
1. Has your company appointed senior management representation for environmental, social, ethics or human rights?	Yes	0.00%	MS
	No	0.00%	MS
1a. Does your company have a management person responsible for Social Sustainability ?	Yes	0.93%	MS
	No	0.00%	MS
1b. Does your company have a management person responsible for Compliance/Business Ethics ?	Yes	0.93%	MS
	No	0.00%	MS
1c. Does your company have a management person responsible for Environmental Sustainability ?	Yes	0.93%	MS
	No	0.00%	MS
1d. Does your company have a management person responsible for monitoring sustainability risks (e.g. a Human Rights Officer)?	Yes	0.93%	MS
	No	0.00%	MS
Max score for indicator		3.72%	
2. Does your company publish a Corporate Social Responsibility (CSR)/Sustainability Report ?	Yes, as a separate report according to the Global Reporting Initiative (GRI) or other globally accepted standard	3.00%	MS
	Yes, as an integrated part of the Annual Report, e.g. Annual and Sustainability Report, according to GRI or other globally accepted standard	3.00%	MS
	Yes, but not according to globally accepted standards	1.50%	MS
	No	0.00%	MS
Max score for question		3.00%	
2a. Is the most recent report assured by a third party?	Yes, the assurance letter is included in the report	0.19%	MS
	Parts are assured, the scope is explained in the assurance letter	0.19%	MS
	No	0.00%	MS
Max score for question		0.19%	
2b.1. What human rights elements are disclosed in the CSR report?	Our company's identified potential and actual human rights risks	0.06%	MS
	Description of existing measures that our company has already taken to address human rights risks and a review of the effectiveness of these measures	0.06%	MS
	Description of future measures that our company plans to take to manage our human rights risks	0.06%	MS

	We do not report on our company's human rights risks	0.00%	MS
Max score for question		0.18%	
2b.2. What environmental elements are disclosed in the CSR report?	Our company's identified environmental risks	0.06%	MS
	Description of existing measures that our company has already taken to address environmental risks and a review of the effectiveness of these measures	0.06%	MS
	Description of future measures that our company plans to take to manage our environmental risks	0.06%	MS
	We do not report on our company's environmental risks	0.00%	MS
Max score for question		0.18%	
2c. Does your company report annually on the fulfilment of statutory due diligence obligations (e.g. the German LkSG) in the previous year?	Yes	0.19%	MS
	No	0.00%	MS
Max score for question		0.19%	
Max score for indicator		3.74%	
3. Does your company have a Code of Conduct ?	Yes	6.00%	MS
	No	0.00%	MS
Max score for question		6.00%	
3a. Does your company organise training for your employees on the Code of Conduct ?	Yes	4.00%	MS
	No, but we communicate the Code of Conduct through extranet/brochures, etc.	2.00%	MS
	No	0.00%	MS
Max score for question		4.00%	
Max score for indicator		10.00%	
4. Does your company have a grievance mechanism or documented complaints procedure established at this location?	Yes	3.00%	MS
	No	0.00%	MS
Max score for question		3.00%	
4a. What are the characteristics of your company's grievance mechanism or complaints procedure? Please tick all that apply.	Outlines who is responsible for the complaints procedure if applicable (there is a complaint body in place which third parties can contact directly or via an external service provider)	0.02%	MS
	The responsible person(s) entrusted by the enterprise is impartial, independent and not bound by instructions	0.02%	MS

The written rules of the complaints procedure outline the ways in which a complaint may proceed and indicates the approximate time each step may take	0.02%	MS
The written rules of the complaints procedure are publicly made available in relevant local languages in all countries in which we operate	0.02%	MS
Complainant is provided confirmation of receipt upon reporting the complaint	0.02%	MS
The complainant's identity is treated confidentially	0.02%	MS
Commitment to non-retaliation against complainants	0.02%	MS
Complaints can be reported anonymously	0.02%	MS
The complainant or their representative is consulted during remediation/resolution	0.02%	MS
Evaluation of complaints procedure effectiveness at least once every 12 months and on an adhoc basis	0.02%	MS
Appeal procedure	0.02%	MS
None of the above	0.00%	MS

Max score for question	0.22%
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4b. What kind of complaints can be submitted? Please tick all that apply.	Human rights complaints	0.06%	MS
	Environmental complaints	0.06%	MS
	Unethical business practices	0.06%	MS

Max score for question	0.18%
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4c. Which stakeholder groups is the complaints mechanism available to? Please tick all that apply.	Internal Stakeholders (company/non-permanent employees, direct suppliers, service providers etc.)	0.09%	MS
	External Stakeholders (contractors, indirect suppliers, local communities etc.)	0.09%	MS
	Others	0.00%	MS

Max score for question	0.18%
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4d. How does your company optimise accessibility of the complaints procedure for all the stakeholder groups that are entitled to use it? Please tick all that apply.	By carrying out training	0.06%	MS
	By different media	0.06%	MS
	Online	0.00%	MS
	Phone	0.00%	MS
	Email	0.00%	MS
	App	0.00%	MS

By participating in a joint industry complaints procedure	0.06%	MS
None of the above	0.00%	MS

Max score for question	0.18%
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Max score for indicator	3.76%
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5. Does your company have a formal policy covering human rights and working conditions ?	Yes	0.00%	MS
	No	0.00%	MS

5a. Which of the following areas are covered by this policy? Please tick all that apply.	Child labour and young workers	0.29%	MS
	Wages and benefits	0.29%	MS
	Working hours	0.29%	MS
	Modern slavery (i.e. slavery, servitude and forced or compulsory labour and human trafficking)	0.29%	MS
	Ethical recruiting	0.29%	MS
	Freedom of association and collective bargaining	0.29%	MS
	Non-discrimination and harassment	0.29%	MS
	Women's Rights	0.29%	MS
	Diversity, Equity, and Inclusion	0.29%	MS
	Rights of Minorities and Indigenous Peoples	0.29%	MS
	Land, Forest and Water Rights and Forced Eviction	0.29%	MS
Use of Private or Public Security Forces	0.29%	MS	

Max score for question	3.48%
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5b. Does your company organise training for your employees on your human rights and working conditions policy?	Yes	2.33%	MS
	No, but we communicate it through Intranet/Brochures, etc.	1.17%	MS
	No	0.00%	MS

Max score for question	2.33%
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Max score for indicator	5.81%
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6. Does your site have a management system in place to manage the human rights and working conditions issues?	Yes, we have an internationally recognised certified management system	10.00%	MS
	Yes, we have a nationally recognised certified management system	7.50%	MS
	Yes, but the system is uncertified	5.00%	MS
	No	0.00%	MS

Max score for indicator		10.00%	
7. Does your company have a formal written health and safety policy in place, which complies with local law, industry requirements and international standards?	Yes	0.00%	MS
	No	0.00%	MS
7a. Which of the following areas are covered by this policy? Please tick all that apply.	Personal protective equipment	0.50%	MS
	Machine safety	0.50%	MS
	Emergency preparedness	0.50%	MS
	Incident and accident management	0.50%	MS
	Workplace ergonomics	0.50%	MS
	Handling of chemical and/or biological substances	0.50%	MS
	Fire protection	0.50%	MS
Max score for question		3.50%	
7b. Does your company organise training for your employees on your health and safety policy ?	Yes	2.33%	MS
	No, but we communicate it through Intranet/Brochures, etc.	1.17%	MS
	No	0.00%	MS
Max score for question		2.33%	
Max score for indicator		5.83%	
8. Does your site have a health and safety management system in place?	Yes, we have an internationally recognised certified management system	10.00%	MS
	Yes, we have a nationally recognised certified management system	7.50%	MS
	Yes, but the system is uncertified	5.00%	MS
	No	0.00%	MS
Max score for indicator		10.00%	
9. Does your company have a formal policy covering business ethics ?	Yes	0.00%	MS
	No	0.00%	MS
9a. Which of the following areas are covered by this policy? Please tick all that apply.	Anti-Corruption and Anti-Money Laundering	0.35%	MS
	Data Protection and Data Security	0.35%	MS
	Financial responsibility (Accurate Records)	0.35%	MS
	Disclosure of Information	0.35%	MS
	Fair competition and anti-trust	0.35%	MS

	Conflicts of interest	0.35%	MS
	Counterfeit parts	0.35%	MS
	Intellectual property	0.35%	MS
	Export controls and economic sanctions	0.35%	MS
	Whistleblowing and protection against retaliation	0.35%	MS
Max score for question		3.50%	
9b. Does your company organise training for your employees on your business ethics policy?	Yes	2.33%	MS
	No, but we communicate it through Intranet/Brochures, etc.	1.17%	MS
	No	0.00%	MS
Max score for question		2.33%	
Max score for indicator		5.83%	
10. Does your company have a formal environmental policy , which includes a commitment to legal compliance, continuous measurement and continuous improvements in environmental performance?	Yes	0.00%	MS
	No	0.00%	MS
10a. Which of the following areas are covered by this policy? Please tick all that apply.	GHG emissions reporting	0.25%	MS
	Energy efficiency	0.25%	MS
	Renewable energy	0.25%	MS
	Decarbonisation	0.25%	MS
	Water quality and consumption & management	0.25%	MS
	Air quality	0.25%	MS
	Responsible chemical management	0.25%	MS
	Sustainable resources management	0.25%	MS
	Waste reduction	0.25%	MS
	Reuse and recycling	0.25%	MS
	Animal welfare	0.25%	MS
	Biodiversity, land use and deforestation	0.25%	MS
	Soil quality	0.25%	MS
	Noise emissions	0.25%	MS
Other areas	0.00%	MS	
Max score for question		3.50%	
10b. Does your company organise training for your employees on your	Yes	2.33%	MS

environmental policy?	No, but we communicate it through Intranet/Brochures, etc.	1.17%	MS
	No	0.00%	MS
Max score for question		2.33%	
Max score for indicator		5.83%	
11. Does your site have an environmental management system in place?	Yes, we have an internationally recognised certified management system	10.00%	MS
	Yes, we have a nationally recognised certified management system	8.00%	MS
	Yes, but the system is uncertified	6.00%	MS
	No	0.00%	MS
Max score for indicator		10.00%	
12. Does your site have an energy management system ?	Yes, we have an internationally recognised certified management system	3.75%	MS
	Yes, we have a nationally recognised certified management system	2.81%	MS
	Yes, but the system is uncertified	1.88%	MS
	No	0.00%	MS
Max score for indicator		3.75%	
13. What percentage of electricity used at your site in the last calendar year came from renewable sources?	91%-100%	0.00%	MS
	81%-90%	0.00%	MS
	71%-80%	0.00%	MS
	61%-70%	0.00%	MS
	51%-60%	0.00%	MS
	41%-50%	0.00%	MS
	31%-40%	0.00%	MS
	21%-30%	0.00%	MS
	11%-20%	0.00%	MS
	1-10%	0.00%	MS
	Not known	0.00%	MS
Not applicable	0.00%	MS	
Max score for indicator		0.00%	
14. What percentage of heating/cooling used at your site in the last calendar year came from renewable sources?	91%-100%	0.00%	MS
	81%-90%	0.00%	MS
	71%-80%	0.00%	MS

61%-70%	0.00%	MS
51-60%	0.00%	MS
41-50%	0.00%	MS
31-40%	0.00%	MS
21-30%	0.00%	MS
11-20%	0.00%	MS
1-10%	0.00%	MS
Not known	0.00%	MS
Not applicable	0.00%	MS

Max score for indicator	0.00%
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15. Does your company set Greenhouse Gas reduction targets?	Yes	0.00%	MS
	No	0.00%	MS

15a. Are the targets Science Based Targets Initiative (SBTi) approved?	Yes	0.00%	MS
	No, but in accordance with other standards (SME Climate Hub, Race to Zero, or equivalent)	0.00%	MS
	No	0.00%	MS

Max score for question	0.00%
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15b. Does your company have emission reduction targets for your upstream supply chain emissions (scope 3)?	Yes	0.00%	MS
	No	0.00%	MS

Max score for question	0.00%
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Max score for indicator	0.00%
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16. Does your site use any substances with restrictions under any national or international statutory provision in production or operations?	Yes	0.00%	MS
	No	5.83%	MS

Max score for question	5.83%
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16a. Does your site have written procedures to manage substances with restrictions under any regulations?	Yes	5.83%	MS
	No	0.00%	MS

Max score for question	5.83%
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16b. Which of the following areas are covered by these written procedures? Please tick all that apply.	The manufacture of mercury-added products, the use of mercury and mercury compounds in manufacturing processes and the treatment of mercury waste	0.00%	MS
	The production and use of Persistent Organic Pollutants	0.00%	MS

The handling, collection, storage and disposal of waste of Persistent Organic Pollutants	0.00%	MS
The export of hazardous waste	0.00%	MS
The import of hazardous and other wastes	0.00%	MS
Other	0.00%	MS

Max score for question	0.00%
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Max score for indicator	5.83%
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17. Does your company have a current CDP score?	Yes	0.00%	MS
	No	0.00%	MS

17a. Please specify your CDP score related to Climate Change	A	0.00%	MS
	A-	0.00%	MS
	B	0.00%	MS
	B-	0.00%	MS
	C	0.00%	MS
	C-	0.00%	MS
	D	0.00%	MS
	D-	0.00%	MS

Max score for question	0.00%
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17b. Please specify your CDP score related to Water	A	0.00%	MS
	A-	0.00%	MS
	B	0.00%	MS
	B-	0.00%	MS
	C	0.00%	MS
	C-	0.00%	MS
	D	0.00%	MS
	D-	0.00%	MS

Max score for question	0.00%
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17c. Please specify your CDP score related to Forest	A	0.00%	MS
	A-	0.00%	MS
	B	0.00%	MS
	B-	0.00%	MS
	C	0.00%	MS

	C-	0.00%	MS
	D	0.00%	MS
	D-	0.00%	MS
Max score for question		0.00%	
Max score for indicator		0.00%	
18. Does your company have set CSR/Sustainability requirements towards suppliers?	Yes	0.00%	MS
	No	0.00%	MS
18a. Which areas are covered by these CSR/Sustainability requirements? Please tick all that apply.	Child labour and young workers	0.08%	MS
	Wages and benefits	0.08%	MS
	Working hours	0.08%	MS
	Modern slavery (i.e. slavery, servitude and forced or compulsory labour and human trafficking)	0.08%	MS
	Ethical recruiting	0.08%	MS
	Freedom of association and collective bargaining	0.08%	MS
	Non-discrimination and harassment	0.08%	MS
	Women's Rights	0.08%	MS
	Diversity, equity, and inclusion	0.08%	MS
	Rights of minorities and indigenous peoples	0.08%	MS
	Land, forest and water rights and forced eviction	0.08%	MS
	Use of private or public security forces	0.08%	MS
	Health and safety	1.00%	MS
	Anti-corruption and anti-money laundering	0.10%	MS
	Data protection and data security	0.10%	MS
	Financial responsibility (Accurate Records)	0.10%	MS
	Disclosure of information	0.10%	MS
Fair competition and anti-trust	0.10%	MS	
Conflicts of interest	0.10%	MS	
Counterfeit parts	0.10%	MS	
Intellectual property	0.10%	MS	
Export controls and economic sanctions	0.10%	MS	

Whistleblowing and protection against retaliation	0.10%	MS
GHG emissions reporting	0.07%	MS
Energy efficiency	0.07%	MS
Renewable energy	0.07%	MS
Decarbonisation	0.07%	MS
Water quality, consumption & management	0.07%	MS
Air quality	0.07%	MS
Responsible chemical management	0.07%	MS
Sustainable resources management	0.07%	MS
Waste reduction	0.07%	MS
Reuse and recycling	0.07%	MS
Animal welfare	0.07%	MS
Biodiversity, land use and deforestation	0.07%	MS
Soil quality	0.07%	MS
Noise emissions	0.07%	MS
Other areas	0.07%	MS
Definition and implementation of similar standards towards own tier-1 suppliers	0.50%	MS
Binding requirements towards tier-1 suppliers to pass on standards along the supply chain	0.50%	MS

Max score for question	5.00%
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18b. Does your company use any of the following channels to communicate its Supplier CSR/Sustainability requirements to your suppliers? Please tick all that apply.	Included in terms and conditions	1.00%	MS
	Supplier training	0.70%	MS
	Supplier code of conduct/supplier sustainability policy	0.50%	MS
	Company website/supplier portal	0.30%	MS
	None	0.00%	MS

Max score for question	2.50%
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18c. Which processes does your company have in place to review if suppliers fulfil your sustainability requirements? Please tick all that apply.	3rd party audits conducted by a certified audit body	1.00%	MS
	2nd party audits conducted by your company	1.00%	MS
	Self-assessment questionnaire	0.50%	MS

	None	0.00%	MS
Max score for question		2.50%	
Max score for indicator		10.00%	
19. Does your company perform sustainability risk assessments as part of the due diligence activities?	Yes	0.00%	MS
	No	0.00%	MS
19a. What is the scope of the risk assessment? Please tick all that apply.	Own business area	0.00%	MS
	Direct suppliers (Tier 1)	0.00%	MS
	Indirect suppliers (Tier n)	0.00%	MS
Max score for question		0.00%	
19b. How often does your company conduct risk assessments?	Yearly	0.00%	MS
	Every 2 years	0.00%	MS
	Ad hoc when we expect the risk situation to change significantly	0.00%	MS
	Ad hoc when we know that there might be a violation, e.g. from a complaint	0.00%	MS
	Other	0.00%	MS
Max score for question		0.00%	
Max score for indicator		0.00%	
20. Are any of the following materials contained in your products? Please tick all that apply.	Aluminium/Bauxite	0.00%	
	Chromium	0.00%	
	Cobalt	0.00%	
	Copper	0.00%	
	Cotton	0.00%	
	Glass (silica sand)	0.00%	
	Gold	0.00%	
	Graphite (natural)	0.00%	
	Leather	0.00%	
	Lithium	0.00%	
	Magnesium	0.00%	
	Manganese	0.00%	
	Mica	0.00%	
	Molybdenum	0.00%	
Nickel	0.00%		

Niobium	0.00%
Palladium	0.00%
Platinum	0.00%
Polysilicon	0.00%
Rare Earth Elements	0.00%
Rhodium	0.00%
Natural Rubber	0.00%
Steel/ Iron	0.00%
Tantalum	0.00%
Tin	0.00%
Tungsten	0.00%
Zinc	0.00%
None	4.37%

Max score for question	4.37%
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20a. Does your company have a policy for the responsible sourcing of these raw materials?

Yes	4.37%
No	0.00%

Max score for question	4.37%
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20a.1 Which of the following materials are covered by this policy? Please tick all that apply.

Aluminium/Bauxite	0.00%
Chromium	0.00%
Cobalt	0.00%
Copper	0.00%
Cotton	0.00%
Glass (silica sand)	0.00%
Gold	0.00%
Graphite (natural)	0.00%
Leather	0.00%
Lithium	0.00%
Magnesium	0.00%
Manganese	0.00%
Mica	0.00%
Molybdenum	0.00%
Nickel	0.00%

Niobium	0.00%
Palladium	0.00%
Platinum	0.00%
Polysilicon	0.00%
Rare Earth Elements	0.00%
Rhodium	0.00%
Natural Rubber	0.00%
Steel/ Iron	0.00%
Tantalum	0.00%
Tin	0.00%
Tungsten	0.00%
Zinc	0.00%

Max score for question	0.00%
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20b. Does your company participate in raw material specific initiative(s)?	Yes	0.00%
	No	0.00%

Max score for question	0.00%
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20c. Does your company have a company-scope Conflict Minerals Reporting Template (CMRT) ?	Yes	0.00%
	No	0.00%

Max score for question	0.00%
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20d. Does your company have a company-scope Extended Minerals Reporting Template (EMRT) ?	Yes	0.00%
	No	0.00%

Max score for question	0.00%
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Max score for indicator	4.37%
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21. Does your company have a responsible sourcing raw materials management system or undertake supply chain mapping?	Yes	1.46%
	No	0.00%

Max score for question	1.46%
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Max score for indicator	1.46%
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